REPUBLIC OF VANUATU OFFICE OF THE OMBUDSMAN

PUBLIC REPORT

on the

IMPROPER APPOINTMENT

of

MR YVES NIOWENMAL

as

DIRECTOR OF HEALTH

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PREAMBLE

"An unjust man is an abomination to the just and he that is upright is abomination to the wicked ..." Proverbs 29 v 27.

This report illustrates clearly how the provisions of the Constitution can be abused when public officials are determined to promote even those who are obviously unsuitable into positions beyond their abilities and qualifications.

We hope that recent events will result in strict observance of selection procedures leading to good appointments instead of the sorry record of the last few years.

1 CASE NUMBER

1.1 C.109/95-96

2 THE COMPLAINANT

2.1 Own Initiative Inquiry

COMPLAINT AGAINST

3.2 Mr Maxime Carlot Korman, the former Prime Minister.

4 THE COMPLAINT

4.1 That the former Prime Minister Mr Korman illegally appointed Mr Yves Niowenmal temporarily to the position of Director of Health when he was suspended by the Public Service Disciplinary Board.

5 METHOD OF INVESTIGATION

- 5.1 Interviews with Mr Niowenmal and Mr Andre Lesines
- 5.2 Correspondence with Public Service Department ("PSD"), the Health Department, Mr Korman, Assemblee De La Province Nord, and Centre Hospitalier Régional de Bordeaux

6 RELEVANT LAW

The Constitution

6.1 Art 57(4):

The Prime Minister ... may, exceptionally, make provision for the recruitment of staff for a specified period to meet unforeseen needs.

6.2 Art 60(4):

The [Public Service] Commission shall not be subject to the direction or control of any other person or body in the exercise of its functions.

6.3 Art 66(1):

Any person who is defined as a leader in art 67 has a duty to conduct himself in such a way both in his public and private life so as not to:

- place himself in a position in which he has or could have a conflict of interests or in which the fair exercise of his public or official duties might be compromised:
- (b) demean his office or position:
- (c) allow his integrity to be called into question; or
- (d) endanger or diminish respect for and confidence in the integrity of the Government of the Republic of Vanuatu.

Public Service Act and Public Service Staff Manual

6.4 The Public Service Staff Manual, comprising Orders under the Public Service Act, sets out procedures that must be followed for the recruitment of public officers. These procedures, clauses 3.11 - 3.19, are summarized in Appendix "A".

7 RELEVANT MATTERS AND FACTS

- 7.1 Mr Niowenmal is a nurse by profession with a specialty in anaesthesia. He has a nursing certificate from the French Nursing School, George Pombidou Hospital in Port Vila. Mr Niowenmal received training in anaesthesia and resuscitation in Noumea, New Caledonia and at University Hospital Centre of Bordeaux in France. From 1989 to 1991 he worked as a nurse-anaesthetist at Koumac hospital in New Caledonia. Mr Niowenmal is from Walarano, Malekula.
- 7.2 At all times relevant to this Report, Mr Niowenmal was a strong supporter of UMP, and Mr Korman was President of UMP.
- 7.3 On 28 December 1993 the Acting Prime Minister Honourable Sethy Regenvanu appointed Mr Niowenmal temporarily to the post of anaesthetist at the Northern District Hospital ("NDH") in Santo. This appointment was made to fill a vacancy left by civil servant strikers during the first week of December 1993. The duration of the appointment was not specified. See appendix "B".
- 7.4 By the end of December 1993, Dr Bule the Director of Health at that time was given early retirement. Five months later, on 25 May 1994, Mr Korman appointed Mr Niowenmal on a temporary basis to the post of Director of Health and informed Mr Niowenmal that his appointment as Anaesthetist was terminated. The duration of the appointment was not specified. Mr Niowenmal was not qualified for this senior position. See appendix "C".
- Between the months of August and September 1994 allegations of 7.5 maladministration were made against Mr Niowenmal by the Health staff and these were pointed out to him by the Minister of Health at that time, Dr Edward Tambisari on two separate occasions. The allegations were:
 - Interference with nurses' duty roster
 - (b) Interference with doctors' duties
 - Allowing government vehicles to be used for private purposes (c)
 - (d)
 - Attempted dismissal of Mr Daniel Kalorib and other nurses Recruitment of paramedical staff without the knowledge of the hospital (e) management.
- 7.6 On 24 February 1995, Mr Korman suspended Mr Niowenmal for a month without pay following the allegations made against him. Between February and May 1995 there were also three suspensions of Mr Niowenmal by the Minister of Health Dr Tambisari based on his work performance (these did not take effect as Dr Tambisari was not authorized to suspend a temporary officer).

- On 5 May 1995 the PSD under the direction of the PSC offered the permanent post of Director of Health to Mr Niowenmal, which he accepted. At that time the PSC was under the chairmanship of Mr William Mael, and the Commissioners were Mr Kalpovi Mangawai, Mr Edwin Basil and Mr Neil Steven Netaf. The appointment did not follow the proper public service appointment procedures set out in chapter 3 of the Public Service Staff Manual, such as advertising of the position, etc. Furthermore, the Commissioners confirmed to this Office that they did not act independently, but instead directed the PSD to offer the position to Mr Niowenmal based on direction that they had received from Mr Korman. It is noteworthy that the Commissioners acknowledged their errors in acting contrary to the Staff Manual and in taking direction from Mr Korman, and apologized for this misconduct.
- 7.8 On 9 January 1996 the Director of Finance, Mr Jeffrey Wilfred, recommended to the Director of PSD that disciplinary charges be laid against Mr Niowenmal. Mr Wilfred alleged that Mr Niowenmal ignored proper procedures and as a result committed the Health Department and the Government to a non budgeted expenditure without proper approval: Vt 5.4 million for the VCH cleaning contract.
- 7.9 The Public Service Disciplinary Board ("Disciplinary Board") met on 21 February 1996 and dismissed Mr Niowenmal as Director of Health, on the charge of mishandling Government funds.
- 7.10 Despite this dismissal, 7 days later on 28 February 1996, Mr Korman re-appointed Mr Niowenmal to the post of Director of Health on a temporary basis. See appendix "D".
- 7.11 On 13 March 1996 Mr Niowenmal appealed the Disciplinary Board's decision to the PSC. On 19 April 1996 the PSC quashed the decision made by the Disciplinary Board and re-instated Mr Niowenmal to the post of Director of Health. The reason was that Mr Niowenmal's commitment of funds, although contrary to the Finance Regulations, was backed up by Mr Korman and then Minister of Finance Willie Jimmy.
- 7.12 On 10 October 1996 Mr Niowenmal faced another Disciplinary Board hearing, for negligent, careless and incompetent work, disobedience, misuse of Government properties, and violations of the Public Service Act and Public Service Staff Manual. As a result, the Disciplinary Board dismissed Mr Niowenmal, effective 30 October 1996. This dismissal was confirmed by the PSC on 5 November 1996.
- 7.13 In addition to the allegations and findings made by the Ministers of Health, Director of Finance, Disciplinary Board and PSC against Mr Niowenmal, the Office of the Ombudsman has made numerous findings of misconduct and maladministration against Mr Niowenmal to date. These include:
 - failure to recruit a Gynaecologist/Obstetrician for VCH when funds were available and applications made, placing the women of Vanuatu at unnecessary risk;
 - (b) breach of Finance Regulations and mishandling of public funds with respect to the installation of hospital panel signs;
 - initiating promotions and regrading of salaries for Health staff in November 1995, without budgetary provision and contrary to law;
 - incompetence and improper performance of his duties as Director of Health;
 - (e) breach of the Leadership Code of the Constitution.

As a result of these findings, I have made repeated recommendations that Mr Niowenmal not be put in charge of the Health Department again nor should he hold any other responsible position in the Public Service.¹

¹Public Report on the Premature Birth and Death of Newborn Twins at Vila Central Hospital, 28 May 1997; Public Report on the illegal Hospitals Panel Signs Contract entered into by Mr Yves Niowenmal, the former Director of Health Department, 1 October 1997; Improper Appointments and Promotions of Health Workers in November 1995, 17 April 1998.

8 REPLIES TO PRELIMINARY REPORT

8.1 The preliminary report was sent to the following people:

Maxime Carlot Korman

Kalpovi Mangawai

Yves Niowenmal

Edwin Basil

Sethy John Regenvanu

Neil Steven Netaf

William Mael

8.2 Mr Sethy John Regenvanu replied to the preliminary report and stated that his interpretation of Art 57(4) of the Constitution differed from mine:

I believe on the contrary that my action in appointing Mr Niowenmal temporarily to the post of Anaesthetist was consistent with Art 57(4) of the Constitution. A temporary appointment is in effect an arrangement liable to be changed (as it is not permanent) and thus is consistent with my own interpretation of Art 57(4) of the Constitution.

Mr Regenvanu's reply is appendix "E".

- 8.3 The former Commissioners for PSC, Messrs Mangawai and Basil stated in their replies that the Commission had apologised for the improper appointment of Mr Niowenmal which they were pushed to make by Mr Korman, and that they saw no reason for the Ombudsman to publish a public report. Their replies are appendices "F" and "G". Mr Netaf who was overseas called the Ombudsman by phone to say that the PSC made this appointment through political pressure along with many other appointments during that time. Mr Netaf said he agreed totally with the Ombudsman's report. The Chairman Mr Mael did not respond to the Preliminary Report.
- 8.4 Mr Korman and Mr Niowenmal did not reply to the preliminary report and must be taken to agree with the facts therein.

9 FINDINGS OF WRONG CONDUCT AND MALADMINISTRATION

FINDING NO.1 - BREACH OF ARTICLE 57(4) OF THE CONSTITUTION BY MR REGENVANU, FORMER ACTING PRIME MINISTER

9.1 The former Acting Prime Minister Sethy Regenvanu acted contrary to law by appointing Mr Niowenmal as aneasthetist on a temporary and indefinite basis in
 December 1993, without "a specified period" as required by Art 57(4) of the Constitution.

FINDING NO.2 - BREACH OF ARTICLE 57(4) OF THE CONSTITUTION BY MR KORMAN, FORMER PRIME MINISTER

9.2 Mr Korman's temporary appointments of Mr Niowenmal as Director of Health in May 1994 and in February 1996 were contrary to Art 57(4) of the Constitution. These appointments were not "exceptional", they were not made "for a specified period", and they were not made to "meet unforeseen needs".

FINDING NO.3 - BREACH OF ARTICLE 60(4) OF THE CONSTITUTION BY MR KORMAN, FORMER PRIME MINISTER

9.3 Mr Korman violated Art 60(4) by pushing the commissioners of the PSC to make a hiring decision in favour of Mr Niowenmal, compromising the PSC's Constitutionallyenshrined independence and ignoring procedural requirements.

FINDING NO.4 - BLATANTLY UNREASONABLE CONDUCT BY MR KORMAN, FORMER PRIME MINISTER

9.4 Mr Korman's actions in appointing an unqualified individual to the senior position of Director of Health in February 1996, and subsequently re-appointing this individual immediately after he had been dismissed for mishandling government funds, were blatantly unreasonable. Mr Korman also ignored the views of his Ministers of Health as well as the Director of Health respecting Mr Niowenmal's incompetency. Mr Korman abused his powers of temporary appointment, and thereby contributed to the deterioration of health services for the people of Vanuatu.

FINDING NO.5 - BREACH OF LEADERSHIP CODE BY MR KORMAN, FORMER PRIME MINISTER

9.5 By making these appointments in favour of his wantok and fellow UMP member, Mr Korman also violated the Leadership Code by compromising the fair exercise of his official duties. The appointment of Mr Niowenmal after his disciplinary hearing and dismissal was an attempt to usurp the disciplinary function of the PSC and was so unreasonable that it diminishes respect for the Government. In these ways Mr Korman violated Articles 66(1)(a) and (d) of the Constitution.

FINDING NO.6 - BREACH OF THE PUBLIC SERVICE STAFF MANUAL AND ARTICLE 60(4) OF THE CONSTITUTION BY THE FORMER PSC COMMISSIONERS COMPRISING MESSRS WILLIAM MAEL, EDWIN BASIL, NEIL STEVEN AND KALPOVI MANGAWAI

9.6 The former commissioners breached the appointment procedures set out in Clauses 3.11-3.19 of the Public Service Staff Manual when they appointed Mr Niowenmal as Director of Health in May 1995 without following the public service formalities. Furthermore, they violated Art 60(4) of the Constitution by compromising their independence and taking direction from the Prime Minister. To their credit, the PSC commissioners have acknowledged and apologized for their mistakes.

10 RECOMMENDATIONS

RECOMMENDATION NO.1

THE ATTORNEY GENERAL SHOULD REVIEW WITH EACH INCOMING (OR ACTING) PRIME MINISTER THE LIMITED AUTHORITY TO MAKE TEMPORARY APPOINTMENTS, AND SHOULD PREPARE A STANDARD "LETTER OF TEMPORARY APPOINTMENT" DESIGNED TO ENSURE COMPLIANCE WITH THE CONSTITUTION (Art 57(4)) AND THE PUBLIC SERVICE STAFF MANUAL (CI 1.4(f), 3.21)

RECOMMENDATION NO.2

THE CHAIRMAN OF THE PSC SHOULD ENSURE THAT ALL INFORMATION WHICH MAY ASSIST IN THE OBJECTIVE, INDEPENDENT ASSESSMENT OF CANDIDATES IS AVAILABLE TO THE PSC IN THE RECRUITMENT PROCESS (including personnel and disciplinary records, criminal records, and Ombudsman findings)

RECOMMENDATION NO.3

MR KORMAN SHOULD NEVER AGAIN BE APPOINTED TO THE COUNCIL OF MINISTERS'

Dated this 29th day of April 1998.

MARIE-NOËLLE FERRIEUX PATTERSON OMBUDSMAN OF THE REPUBLIC OF VANUATU

²Similar recommendations have been made in earlier Ombudsman reports.

11 APPENDICES

- A. Summary of Recruitment Procedures in the Public Service Staff Manual
- B. Mr Yves Niowenmal's Letter of Temporary Appointment (28.12.93) by the then Acting Prime Minister, Mr Sethy John Regenvanu
- C. Mr Yves Niowenmal's Letter of Temporary Recruitment (25.05.94) by Maxime Carlot Korman, then Prime Minister.
- D. Mr Yves Niowenmal's Letter of Temporary Recruitment (28.02.96) by Maxime Carlot Korman.
- E. Mr Regenvanu's reply.
- F. Mr Kalpovi Mangawai's reply .
- G. Mr Edwin Basil's reply.

ANNEX A

RECRUITMENT PROCEDURE

SUMMARY OF CLAUSES 3.11 - 3.19 IN PART B OF CHAPTER 3 OF THE PUBLIC SERVICE STAFF MANUAL

- there must be an existing or forthcoming vacancy (cl 3.11)
- PS Minister (PM) approves a vacancy for recruitment (cl 3.12)
- PS Dept director notifies PS Commission of the approved vacancy (cl 3.12)
- PS Commission decides whether the recruitment is by competitive examination or otherwise (cl 3.12)
- PS Commission notifies Department of Labour and Employment of the vacancy and full details of it (cl 3.13)
- PS Commission advertises vacancy on radio and in press, overseas if necessary and circularises it within the Public Service (cl 3.13)
- Closing date for applications are normally one month after advertisement (cl 3.16(b))
- PS Minister can waive local advertisement:
 - if satisfied no local candidate available; or
 - if local cadidate trained at public expense for specialised post. (cl 3.13)
- The PS Commission is to make a background check of the applicants from authorities in each particular applicant's province (cl. 3.16(a))
- After the advertised closing date, the PS Commission sorts through the applications received and identifies those that are eligible (cl 3.16(b)); ie age, possesses required qualifications per Annex II, no criminal, conviction
- The PS Commission gives all eligible applications to the relevant Head of Department for the vacancy concerned (cl 3.16(b))

TINEX A

- Departmental Head lists eligible candidates in order of preference according to experience, qualification and suitability for vacant position (cl 3.16(b))
- If more than one candidate PS Commission has option to hold competitive examination (cl 3.16(b))
- * PS Commission meets and selects successful candidate and reports decision to PS Dept director (cl 3.17)
- If applicable, PS Dept director to seek any other approval as may be necessary; eg Chief Justice (cl 3.17)
 - Selection process also to be used to keep a list in order of merit of unsuccessful candidates for future vacancies (cl 3.5)
- PS Department Director determines salary and conditions to be offered in accordance with policy directions of the PS Minister (cl 3.18)
- PS Department offers vacant postion to successful candidate by Letter of Appointment, setting terms of engagement (cl 3.19)

GOUVERNEMENT DE LA RÉPUBLIQUE DE VANUATU

GOVERNMENT OF THE REPUBLIC OF VANUATU

OFFICE OF THE PRIME MINISTER

BUREAU DU PREMIER MINISTRE

Ref

MR NIOWENMAL Yves Health Department NDH Santo

Port Vila, 28th December 1993

Dear NIOWENMAL

Letter of Temporary Appointment

I am please to approve your appointment on temporary basis as " Anaesthetist in the Department of Health (NDH Santo)" with effect from the 28th December 1993.

Such appointment is intended to last until further notice and may be terminated at any time by either party giving one Month's notice.

The post is on grade P.15 of the Public Service Salary Scales and would be on an annual salary of Vt 904,968 plus a supplement of Vt 51,000 per annum.

You are subject to the rules and regulations applicable to the Public Service, with the exceptions as detailed in the Staff Manuel (Extended sick leave, Special leave, Etc). In additional, temporary officers are not entitled to receive free medical treatment, family and housing allowances or to become a member of any future pension.

Any questions of interpretation should be referred to the Prime Minister for decision.

Yours Sincerely,

Sethy John REGENVANU Acting Prime Minister

- CC Director, Public Service Department
 - Deputy-Director, Public Service Department
 - Prime Minister's Office
 - Director General of Finance
 - Management Services Unit
 - Auditor General
 - Attorney General's Chamber
 - Director, Health Decartment

TELEPHONE : 22 413 PRIVATE MAIL BAG 053 TELEX : 1040 VANGOV

GOUVERNEMENT DE LA RÉPUBLIQUE DE VANUATU



GOVERNMENT OF THE REPUBLIC OF VANUATU

OFFICE OF THE PRIME MINISTER

25 Mai 1994

BUREAU DU PREMIER MINISTRE

Our Ref. : PM/301/1/544/MCK/94

Mr Yves NIOWENMAL C/- Departement de la Santé PORT-VILA

OBJET : LETTRE DE RECRUTEMENT TEMPORAIRE

Monsieur,

Soyez avisé de votre recrutement temporaire au poste de Directeur Département de la Santé à compter du 26 Mai 1994. Cette affectation pourra cesser à l'initiative de l'une des deux parties concernées, sous réserve d'un mois de préavis.

Le grade de renumération est en P19 dans l'échelle salariale de la Fonction Publique, dont le salaire annuel est à hauteur de VT. 1.295.232 avec un supplément de VT. 51.000 par an. En tant qu'Agent temporaire, vous ne pouvez bénéficier d'allocations familiales ni d'indemnités de logement, etc.

Vous êtes sujet aux règles et usances de la Fonction Publique en matière d'emploi, ainsi stipulé dans le règlement intérieur de la Fonction Publique (Staff Manual).

Agréer, Monsieur, l'expression de mes salutations distinguées.

Cette lettre annule et remplace la lettre précédente daté du 28 Decembre 1993.

Maxime CARLOT KORMAN Premier Ministre

Copies : Directrice, Département de la Fonction Publique

Directeur Général des Finances Bureau du Premier Ministre

Ministère de la Santé Département de la Santé

Service Gestion de la Fonction Publique

PF Chrono



GOUVERNEMENT DE LA RÉPUBLIQUE DE VANUATU

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GOVERNMENT OF THE REPUBLIC OF VANUATU

OFFICE OF THE PRIME MINISTER

BUREAU DU PREMIER MINISTRE

Notre Réf. : PM/39/1/036/MCK/96

28 Février 1996

Mr Yves NIOWENMAL Service de la Santé PORT-VILA

Dan 01.03.96.

diam

OBJET : LETTRE DE RECRUTEMENT TEMPORAIRE

Monsieur,

Soyez avisé de votre recrutement temporaire au poste de Directeur au Département de la Santé à compter du 28 Février 1996. Cette affectation pourra cesser à l'initiative de l'une des deux parties concernées, sous réserve d'un mois de préavis.

Le grade de renumération est en P21.1 dans l'échelle salariale de la Fonction Publique, dont le salaire annuel est à hauteur de VT. 1.597.944 avec un supplément de VT. 51.000 par an. En tant qu'Agent temporaire, vous ne pouvez bénéficier d'Allocations Familiales ni d'Indemnités de Logement, etc.

Vous êtes sujet aux règles et usances de la Fonction Publique en matière d'emploi, ainsi stipulé dans le règlement intérieur de la Fonction Publique (Staff Manual).

Agréer, Monsieur, l'expression de mes salutations

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Maxime CARLOT KORMAN Premier Ministre

Copies : Directeur, Département de la Fonction Publique 🗸

PREMIER MINISTRE

PRIME MINISTER

Directeur Général des Finances Bureau du Premier Ministre

Ministère de la Santé

Service Gestion de la Fonction Publique

DPL Chrono



o: AM

"E"

Marie-Noelle Ferrieux Palterson Ombudsman of the Republic of Vanuatu Offici of the Combudsman Port Vila.

PECEIVED 27 NOV 1997 OMBUDSMAN P.O. Box 118
Port Vila
Vanuata
26 Novembo

Dear Ombidsman,

RE: PRELIMINARY REPORT ON ALLEGED IMPROPER APPOINTMENT OF MR NICWENMAL AS DIRECTOR OF HEALTH.

I acknowledge the receipt of a copy of the "Preliminary Resont - alleged improper appointment of Mr. Yver Niowenmal as the Director of Health," dated 25 November 1997 by the Office of the Ombudsman, Port Ul.

In the Report you cited my appointing of Mr. Niowenmal on temporary leasis to the post of an ansthetist as acting contrary to art. 57(4) I the Constitution by not specifying Mr. Niosslamal's period of temporary appointment.

for the outset I wish to reject your allegation and to further describe it as a criticism based purely on you a sour interpretation of the Constitution (which is different from my own). I believe on the contrary that my action in appointing the Neovember temporarily to the work of Anaes thatist was counted courses aftern with both 5:2 (4) of the Constitution. A temporary appointment is in effect on arrangement liable to be changed (as it is no permanent). In the six consistant with my own interpretation of Cord STA the Constitution.

Therefore until it can be ruled by an independent and of competence that you interpretation of art. 57 (4) of the Constitution is connect, I reject it as inaccurate but me. maintain that my action is constitutional.

Mann Acronely.

" F"



Date: 11 January 1998

Marie Noelle Firieux PATERSON Ombudsman blong Repablik PORT-VILA, Vanuatu

RE: IMPROPER APPOINTMENT OF MR.YVES NIOWENMAL

Mi aknoledgem risivim leta blong yu date 6 February 1998, Ref: 0563/5109/L10/eb folem meta antap.

Olsem memba blong Commission, mi andestand se Commission i bin apolojaes finis long foret blong you samtaem long las yia 1997, long illegal appointment blong Mr.Yves NIOWENMAL we Prime Minista Maxim Carlot KORMAN i bin pushum blong gat appointment ia.

Nao we hemi terminated finis, mi no luk eny risin from wanem yumi shud folem kes or produsim repot ia bakegen.

Thank you blong atention blong you.

Kalpovi MKAGAWAI

Memba, Mublic Service Commission

"G"



Date: 11 January 1998

Marie Noelle Firieux PATERSON Ombudsman blong Repablik PORT-VILA, Vanuatu

RE: IMPROPER APPOINTMENT OF MR.YVES NIOWENMAL

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Nao we hemi terminated finis, mi no luk eny risin from wanem yumi shud folem kes or produsim repot ia bakegen.

Thank you blong atention blong you.

Edwin BASIL

Memba, Public Service Commission